SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL OMB Number: 3235-0287 Esti hou

mated average burden	
rs per response:	0.5

1. Name and Address of Reporting Person [*] <u>REICH JOEL D</u>			2. Issuer Name and Ticker or Trading Symbol <u>NetApp, Inc.</u> [NTAP]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner				
(Last) 1395 CROSSMA	(First) (Middle) CROSSMAN AVE		3. Date of Earliest Transaction (Month/Day/Year) 11/15/2017	х	Officer (give title below) Exec VP, Product (Other (specify below) Operations		
(Street) SUNNYVALE CA 94089		94089	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	,			
(City)	(State)	(Zip)			Person	· • · • • • • • • • • • • • • • • • • •		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	11/15/2017		М		2,083	A	\$0.0	4,355	D	
Common Stock	11/15/2017		F		984	D	\$45.82	3,371	D	
Common Stock	11/17/2017		S		2,814	D	\$53.1428	557	D	
Common Stock	11/17/2017		S		557	D	\$53.295	0	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deri Sec Acq (A) o Disp of (I (Inst	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		te	Amount of		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Unit	\$0.0 ⁽¹⁾	11/15/2017		М			2,083	11/15/2014 ⁽²⁾	11/14/2020	Common Stock	2,083	\$ <mark>0.0</mark>	0	D	

Explanation of Responses:

1. Restricted stock units convert into common stock on a one-for-one basis.

2. On November 15, 2013, the reporting person was granted 8,333 restricted stock units, vesting in four equal annual installments beginning on November 15, 2014 and subject to continued service on each applicable vesting date.

By: Roberta S Cohen Attorney-11/20/2017

in-Fact For: Joel D Reich

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.