FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

| <b>STATEMENT</b> | <b>OF CHANGES</b> | IN BENEFICIAL | <b>OWNERSHIP</b> |
|------------------|-------------------|---------------|------------------|

| UNID APPR             | UVAL      |
|-----------------------|-----------|
| OMB Number:           | 3235-0287 |
| Estimated average bur | den       |
| hours per response:   | 0.5       |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* <u>ALLEN SCOTT R.</u>                                   |                      |  |   | 2. Issuer Name <b>and</b> Ticker or Trading Symbol NetApp, Inc. [NTAP] |   |               |   |                   |  |                           |  | ck all applica<br>Director                     |   |  |   | Owner  |   |
|--|----------------------|--|---|--|---|---------------|---|-------------------|--|---------------------------|--|--|---|--|---|--|---|
| (Last) (First) (Middle) 1395 CROSSMAN AVE  |                      |  |   | 3. Date of Earliest Transaction (Month/Day/Year) 09/15/2019            |   |               |   |                   |  |                           | X  | below)   |   |  | Other (s<br>below)<br>ng Office                                   | ·  |   |
| (Street) SUNNY (City)  |                      | CA<br>State)                               | 94089<br>(Zip)  |  | 4. If Amendment, Date of Original Filed (Month/Day/Year)    |               |   |                   |  |                           | 6. Ind<br>Line)  | ′  |   |  |   |  |   |
|  |                      | T  | able I - Nor  | n-Deriva   | tive S  | ecurit        | ties A                                    | cquired,          | Dis  | posed of,                 | or Bene  | ficially                                       | Owned   |  |   |  |   |
| 1. Title of Security (Instr. 3)  |                      | Date                                       | Transaction<br>Date<br>Month/Day/Year)                  |  | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) |               | e, Transaction Disposed C<br>Code (Instr. |                   | es Acquired (A) or<br>Of (D) (Instr. 3, 4 and 5                |                           | Beneficial<br>Owned Fo   | lly  | Form:   | Direct Indirect Etr. 4)  | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |   |
|  |                      |  |   |  |   |               |   | v                 | Amount   | (A) or<br>(D)             | Price  | Reported<br>Transaction(s)<br>(Instr. 3 and 4) |   |  |   |  |   |
| Common Stock   |                      |  | 09/15/  | 5/2019   |   | М             |   | 4,250 A           |  | \$0.0                     | 4,250  |  | D   |  |   |  |   |
| Common Stock   |                      |  | 09/15/  | 5/2019   |   | F             |   | 1,469             | D  | \$56.57                   | 2,781  |  |   | D  |   |  |   |
|  |                      |  |   |  |   |               |   |                   |  | osed of, o                |  |  | Owned   |  |   |  |   |
| 1. Title of Derivative Security (Instr. 3)  2. Conversio or Exercis Price of Derivative Security |                      | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date<br>if any<br>(Month/Day/Ye | Code (Instr.   |   | Derivative Ex |   | Expiration        | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                           | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | e<br>s<br>ally<br>g   | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Beneficial<br>Ownership<br>t (Instr. 4) |
|  |                      |  |   | Cod  | e V   | (A)           | (D)                                       | Date<br>Exercisab |  | Expiration<br>Date        | Title  | Amount<br>or<br>Number<br>of<br>Shares         |   | Transaction(s)<br>(Instr. 4)   |   |  |   |
| Restricted<br>Stock Unit   | \$0.0 <sup>(1)</sup> | 09/15/2019                                 |   | М  |   |               | 4,250                                     | 09/15/201         | 7 <sup>(2)</sup>   | 06/01/2023 <sup>(2)</sup> | Common<br>Stock  | 4,250  | \$0.0   | 4,250  | 0   | D  |   |
| Restricted   | ** o(1)              | 00/16/2010                                 |   |  |   | 4.040         |   | (3)               |  | (3)                       | Common   | 4.040  | <b>*</b> 0.0  | 4.04   | _   |  |   |

## **Explanation of Responses:**

- 1. Restricted stock units convert into common stock on a one-for-one basis.
- 2. On September 15, 2016, the reporting person was granted 17,000 restricted stock units, vesting in four equal annual installments beginning on September 15, 2017 and subject to continued service on each applicable vesting date
- 3. On September 16, 2019, the reporting person was granted 4,840 restricted stock units, vesting in four equal annual installments beginning on September 15, 2019 and subject to continued service on each applicable vesting date.

By: Roberta S Cohen Attorneyin-Fact For: Scott R Allen

09/17/2019

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.