## SEC Form 4

 $\Box$ 

## FORM 4

Washington, D.C. 20549

OMB APPROVAL
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* CERNUDA CESAR					2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>NetApp, Inc.</u> [ NTAP ]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
(Last) (First) (Middle) 3060 OLSEN DRIVE						3. Date of Earliest Transaction (Month/Day/Year) 11/15/2023								(give title	sident	Other (specify below)		
3060 OLSEN DRIVE (Street)				4. If Am	4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line)					
SAN JO	SE C.	A	95128									2		iled by One iled by Mor I	•	0		
(City) (State) (Zip)				Rule	10b5-1(c)	Trans	acti	on Ind	lica	tion								
						eck this box to indic isfy the affirmative o								on or written	plan that	t is intende	ed to	
		Tab	le I - Noi	n-Deriv	ative S	ecurities Acc	quired,	Dis	oosed o	of, o	r Ben	eficiall	y Owned	1				
1. Title of Security (Instr. 3) Date (Month/E				action Day/Year)	2A. Deemed Execution Date, if any	Code (Instr.		4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 5)				and Securities Beneficially Owned Following		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership		
l .						(Month/Day/Year)	) 8)		-,						(I) (Inst			
						(Month/Day/Year)	) 8) Code	v	Amount		(A) or (D)	Price	Owned I Reporte Transac (Instr. 3	d tion(s)	(I) (Inst		Ownership (Instr. 4)	
Common	shares			11/15	5/2023	(Month/Day/Year)			·	$\rightarrow$	(A) or (D) A	Price	Reporte Transac (Instr. 3	d tion(s)	(I) (Inst			
Common					5/2023 5/2023	(Month/Day/Year)	Code		Amount	5	(D)		Reporte Transac (Instr. 3	d tion(s) and 4)		) )		
		1		11/15 Deriva	5/2023 tive Sec	(Month/Day/Year)	Code M F Jired, D	v	Amount 4,195 1,971	5 L	(D) A D Benef	\$0.00 \$78.92	Reporte Transac (Instr. 3 2 85 2 83	d tion(s) and 4) ,854	I	) )		

## Stock Unit (1) 11/15/2023 M Explanation of Responses: 1. Restricted Stock Units convert into common stock on a one-for-one basis.

11/15/2023

11/15/2023

2. On July 1, 2021, the reporting person was granted 35,700 restricted stock units. Restricted stock unit awards shall vest as to twenty-five percent (25%) of the shares May 15, 2022 and 1/16th (6.25%) of the shares quarterly thereafter for the next three years, subject to continued service on each applicable vesting date.

Date

Exercisable

(2)

(3)

Expiration Date

(2)

(3)

Title

Common

Stock

Common

Stock

3. On July 1, 2022, the reporting person was granted 31,422 restricted stock units. Restricted stock unit awards shall vest as to twenty-five percent (25%) of the shares May 15, 2023 and 1/16th (6.25%) of the shares quarterly thereafter for the next three years, subject to continued service on each applicable vesting date.

**Remarks:** 

Restricted

Stock Unit

Restricted

(1)

(1)

By:Roberta S Cohen, Attorneyin-Fact for Cesar Cernuda <u>11/17/2023</u>

\*\* Signature of Reporting Person Date

Amount or Number

Shares

2,231

1,964

\$0.00

\$0.00

13,388

19,640

D

D

of

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

v

Code

Μ

Μ

(A) (D)

2,231

1,964

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.