FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

| OMB APPROVAL             |           |  |  |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|--|--|
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| Estimated average burden |           |  |  |  |  |  |  |  |  |
| hours per response:      | 0.5       |  |  |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

|                                                                  |                                                                                                                                              |                                            |        |                                                             | -,                              |                                                                   |                                                |       |                                                     |                  |                                      |                                                                                                     |                                        |                                                                                               |                                                                                                                           |    |                                                                        | -                                                   |  |
|------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|--------|-------------------------------------------------------------|---------------------------------|-------------------------------------------------------------------|------------------------------------------------|-------|-----------------------------------------------------|------------------|--------------------------------------|-----------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------|----|------------------------------------------------------------------------|-----------------------------------------------------|--|
| Name and Address of Reporting Person*     Smith Stephen M        |                                                                                                                                              |                                            |        |                                                             |                                 | 2. Issuer Name and Ticker or Trading Symbol NetApp, Inc. [ NTAP ] |                                                |       |                                                     |                  |                                      |                                                                                                     | (Ched                                  | Relationship of Reporting Person(s) to Issuer (Check all applicable)     X Director 10% Owner |                                                                                                                           |    |                                                                        |                                                     |  |
| (Last)                                                           | ,                                                                                                                                            | rst) (                                     |        | 3. Date of Earliest Transaction (Month/Day/Year) 09/14/2016 |                                 |                                                                   |                                                |       |                                                     |                  |                                      | Officer (give title below)                                                                          |                                        | Other (spe                                                                                    |                                                                                                                           |    |                                                                        |                                                     |  |
| 495 EAST JAVA DRIVE                                              |                                                                                                                                              |                                            |        |                                                             |                                 | 4. If Amendment, Date of Original Filed (Month/Day/Year)          |                                                |       |                                                     |                  |                                      |                                                                                                     |                                        | 6. Individual or Joint/Group Filing (Check Applicable Line)                                   |                                                                                                                           |    |                                                                        |                                                     |  |
| (Street) SUNNYVALE CA 94089                                      |                                                                                                                                              |                                            |        |                                                             |                                 |                                                                   |                                                |       |                                                     |                  |                                      |                                                                                                     |                                        | X Form filed by One Reporting Person Form filed by More than One Reporting Person             |                                                                                                                           |    |                                                                        |                                                     |  |
| (City)                                                           | (S                                                                                                                                           | tate) (                                    | Zip)   |                                                             |                                 |                                                                   |                                                |       |                                                     |                  |                                      |                                                                                                     |                                        |                                                                                               |                                                                                                                           |    |                                                                        |                                                     |  |
|                                                                  | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned                                                             |                                            |        |                                                             |                                 |                                                                   |                                                |       |                                                     |                  |                                      |                                                                                                     |                                        |                                                                                               |                                                                                                                           |    |                                                                        |                                                     |  |
| 1. Title of Security (Instr. 3)  2. Transaction Date (Month/Day/ |                                                                                                                                              |                                            |        |                                                             |                                 | Exe<br>if a                                                       | Deemed<br>cution Date,<br>ny<br>onth/Day/Year) |       | Transaction Disposed Code (Instr. and 5)            |                  | 4. Securitie<br>Disposed C<br>and 5) |                                                                                                     |                                        | 5. Amoun<br>Securities<br>Beneficia<br>Owned                                                  | Form<br>(D) o                                                                                                             |    | Direct                                                                 | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |  |
|                                                                  |                                                                                                                                              |                                            |        |                                                             |                                 |                                                                   |                                                |       | Code                                                | v                | Amount                               | (A) or<br>(D)                                                                                       | Price                                  | Reported<br>Transacti<br>(Instr. 3 a                                                          | on(s)                                                                                                                     |    | 4)                                                                     | (Instr. 4)                                          |  |
| Common Stock 09/14/20                                            |                                                                                                                                              |                                            |        |                                                             |                                 | 016                                                               |                                                | M     |                                                     | 4,898            | A \$0.0(1)                           |                                                                                                     | 4,898                                  |                                                                                               |                                                                                                                           |    | by<br>Trust <sup>(2)</sup>                                             |                                                     |  |
|                                                                  | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |                                            |        |                                                             |                                 |                                                                   |                                                |       |                                                     |                  |                                      |                                                                                                     |                                        |                                                                                               |                                                                                                                           |    |                                                                        |                                                     |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)              | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security                                                                        | 3. Transaction<br>Date<br>(Month/Day/Year) | if any | on Date,                                                    | 4.<br>Transac<br>Code (In<br>8) |                                                                   |                                                |       | 6. Date Exercis<br>Expiration Date<br>(Month/Day/Ye |                  | е                                    | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr. 3<br>and 4) |                                        | 8. Price<br>of<br>Derivative<br>Security<br>(Instr. 5)                                        | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s<br>(Instr. 4) |    | 10.<br>Ownersh<br>Form:<br>Direct (D<br>or Indire<br>(I) (Instr.<br>4) | Beneficial<br>Ownership                             |  |
|                                                                  |                                                                                                                                              |                                            |        |                                                             | Code                            | v                                                                 | (A)                                            | (D)   | Date<br>Exercisab                                   |                  | Expiration<br>Date                   | Title                                                                                               | Amount<br>or<br>Number<br>of<br>Shares |                                                                                               |                                                                                                                           |    |                                                                        |                                                     |  |
| Restricted<br>Stock Unit                                         | <b>\$0.0</b> <sup>(1)</sup>                                                                                                                  | 09/14/2016                                 |        |                                                             | M                               |                                                                   |                                                | 4,898 | 09/14/2010                                          | s <sup>(3)</sup> | 05/25/2023 <sup>(3)</sup>            | Common<br>Stock                                                                                     | 4,898                                  | \$0.0                                                                                         | 0                                                                                                                         |    | D                                                                      |                                                     |  |
| Restricted<br>Stock Unit                                         | \$0.0(1)                                                                                                                                     | 09/15/2016                                 |        |                                                             | A                               |                                                                   | 7,132                                          |       | (4)                                                 |                  | (4)                                  | Common                                                                                              | 7,132                                  | \$0.0                                                                                         | 7,13                                                                                                                      | 12 | D                                                                      |                                                     |  |

## Explanation of Responses:

- 1. Restricted stock units convert into common stock on a one-for-one basis.
- 2. Shares held by the Stephen Smith & Sandra Smith Trust UAD 9/13/2009.
- 3. On May 25, 2016, the reporting person was granted 4,898 restricted stock units which vest on the day immediately preceding the date of the next Annual Stockholders Meeting of the Company following the Grant Date, subject to the Participant's continuous service on the Board through such date.
- 4. On September 11, 2015, the reporting person was granted 7,916 restricted stock units which vest on the day immediately preceding the date of the next Annual Stockholders Meeting of the Company following the Grant Date, subject to the Participant's continuous service on the Board through such date.

By: Roberta S. Cohen Attorney-in-Fact For: Stephen 09/16/2016 M. Smith

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.