SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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1. Name and Address of Reporting Person* PASEK RONALD J						2. Issuer Name and Ticker or Trading Symbol <u>NetApp, Inc.</u> [NTAP]									5. Re (Che	5. Relationship of Reporting Person(s) to Issu (Check all applicable) Director 10% Ow				
																04	r (aive title		Other (
(Last) (First) (Middle)						3. Date of Earliest Transaction (Month/Day/Year)									- >	below)	(give the		below)	speeny
1395 CROSSMAN AVE					06	06/04/2018										EVP Fi	inance &	Oper	ations, Cl	FO
1555 CROSSIVIAIN AV E																				
(Street)					- 4.	4. If Amendment, Date of Original Filed (Month/Day/Year)										6. Individual or Joint/Group Filing (Check Applicable Line)				
SUNNYVALE CA 94089			94089													Form filed by One Reporting Person				
					_										Form filed by More than One Reporting					
(City)	(City) (State) (Zip)															Person				
		Ta	ble I - Nor	-Dori	vativ		ouritio	ς Λ(uirod D	Nici	nosed of	f or Re	no	ficially	v Owned				
									cy	, 		1	,			, 				
Date				te onth/Day/Year)		2A. Deemed Execution Date if any (Month/Day/Yea		·	Code (Instr.					Securitie Beneficia	5. Amount of Securities Beneficially Owned Following		: Direct	7. Nature of Indirect Beneficial Ownership		
										Code \	/	Amount	(A) o (D)	r	Price	Reported Transact (Instr. 3 a	on(s)			(Instr. 4)
			Table II -	Deriva	ative	Sec	urities	Acc	iur	ired Die	sno	used of	or Ben	ofi	cially	Owned			I	
												onvertik				Owned				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution D if any (Month/Day)	Date,	Code (Instr				Ex	6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	e s ally g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
					Code	v	(A)	(D)		ate xercisable		Expiration Date	Title	0 N 0	lumber					
Restricted	\$0.0 ⁽¹⁾	06/04/2018			Α		22,500		06	5/01/2019 ⁽²	.)	06/04/2025	Common	2	2.500	\$0.0	22,50	00	D	

Explanation of Responses:

Stock Unit

1. Restricted stock units convert into common stock on a one-for-one basis.

2. On June 4, 2018, the reporting person was granted 22,500 restricted stock units, vesting in four equal annual installments beginning on June 1, 2019 and subject to continued service on each applicable vesting date.

By: Roberta S. Cohen Attorney-

Stock

in-Fact For: Ronald James 06/06/2018 Pasek

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.