## SEC Form 4

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## FORM 4

UNITED STATES SECL	JRITIES AN	ND EXCHANGE	COMMISSION

Washington, D.C. 20549

OMB APPROVAL
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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* BERRY MICHAEL J						2. Issuer Name <b>and</b> Ticker or Trading Symbol NetApp, Inc. [ NTAP ]									(Ch	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner Officer (give title Other (specify				
(Last) 3060 OL	(F SEN DRIV	,	(Middle)		3. Date of Earliest Tra 11/15/2023					nsaction (Month/Day/Year)						below			below)	
(Street) SAN JOS	SE C.	A	95128		_   4. l' _	4. If Amendment, Date of 0					of Original Filed (Month/Day/Year)					hdividual or Joint/Group Filing (Check Applicable ) X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(S	tate)	(Zip)		Rule 10b5-1(c) Transaction Indication         Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.															
		Tab	le I - No	n-Deri	vative	e S	ecuri	ties /	Acq	uired,	Dis	posed o	of, o	or Ben	eficial	ly Owne	d			
Date			2. Trans Date (Month			2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)				l (A) or . 3, 4 and	Benefic	ies :ially Following	Form (D) o	n: Direct or Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
								Code	v	Amount		(A) or (D)	Price	Transa (Instr. 3	tion(s)			(		
Common shares 11/1				5/2023	/2023				М		2,701		A	\$0.0	0 17	173,887		I	The Berry Trust	
Common Shares 11/15			5/2023	/2023				F		1,061		D	\$78.9	)2 17	172,826		Ι	The Berry Trust		
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	1. Title of Derivative Security or Exercise 3. Transaction Date (Month/Day/Year) 3A. Deemed Execution Date, if any if any		Transa	saction e (Instr. A b c c c c c c c c c c c c c c c c c c		5. Number 6. of E		6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Secu (Instr. 3 and 4)			8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficia Owned Following Reported Transacti (Instr. 4)	e s Ily J	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	t (Instr. 4)		
					Code	v	(A)	(D)		Date Exercisabl		Expiration Date	Title		Amount or Number of Shares					

**Explanation of Responses:** 

(1)

(1)

1. Restricted Stock Units convert into common stock on a one-for-one basis.

11/15/2023

11/15/2023

2. On July 1, 2021, the reporting person was granted 23,000 restricted stock units. Restricted stock unit awards shall vest as to twenty-five percent (25%) of the shares May 15, 2022 and 1/16th (6.25%) of the shares quarterly thereafter for the next three years, subject to continued service on each applicable vesting date.

(2)

(3)

1,438

1,263

3. On July 1, 2022, the reporting person was granted 20,199 restricted stock units. Restricted stock unit awards shall vest as to twenty-five percent (25%) of the shares May 15, 2023 and 1/16th (6.25%) of the shares quarterly thereafter for the next three years, subject to continued service on each applicable vesting date.

## Remarks:

Restricted Stock Unit

Restricted

Stock Unit

By:Roberta S Cohen, Attorney-<u>11/17/2023</u>

in-Fact for Michael J. Berry

\*\* Signature of Reporting Person Date

Common Stock

Commor

Stock

1,438

1,263

\$<mark>0.00</mark>

\$0.00

8,625

12,625

D

D

(2)

(3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.