FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

**OMB APPROVAL** OMB Number: Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person*     Kurian George      |   |            |  |        |          | 2. Issuer Name <b>and</b> Ticker or Trading Symbol NetApp, Inc. [NTAP] |   |         |          |                                  |        |  |                           |   | (Ch                                    | Relationship of Reporting Person(s) to Issuer (Check all applicable)     Director 10% Owner   |  |               |  |   |
|--|---|------------|--|--------|----------|--|---|---------|----------|----------------------------------|--------|--|---------------------------|---|--|---|--|---------------|--|---|
| (Last)   |   |            |  |        |          | 3. Date of Earliest Transaction (Month/Day/Year) 11/20/2017            |   |         |          |                                  |        |  |                           |   |  | CEO  Officer (give title below)  CEO  |  |               | ·  |   |
| (Street) SUNNYVALE CA 94089                                  |   |            |  |        | 4.1      | 4. If Amendment, Date of Original Filed (Month/Day/Year)               |   |         |          |                                  |        |  |                           |   |  | ndividual or Joint/Group Filing (Check Applicable e)  X Form filed by One Reporting Person Form filed by More than One Reporting Person |  |               |  |   |
| (City)   | (:  |            | (Zip)  | n-Deri | vativ    | e Se   | curit   | ties Ac | un       | ired I                           | Disi   | nosed o  | of or l                   | 3en   | eficial                                | y Owned   |  |               |  |   |
| 1. Title of Security (Instr. 3)  2. Transa Date (Month/Date) |   |            |  |        | saction  | ear)   | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) |         |          | 3.<br>Transac<br>Code (Ir<br>8)  | tion   | 4. Securities Acquired (A)<br>Disposed Of (D) (Instr. 3, 4<br>5) |                           |   | (A) or                                 | 5. Amou<br>Securitie<br>Benefici<br>Owned F   | nt of<br>es<br>ally<br>Following   | Form<br>(D) o | n: Direct<br>r Indirect<br>istr. 4)                                      | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |
|  |   |            |  |        |          |  |   | Ī       | Code     | v                                | Amount | (A   | ) or<br>)                 | Price   | Reporte<br>Transac<br>(Instr. 3        | ion(s)  |  |               | (Instr. 4)   |   |
| Common Stock 11/20/  |   |            |  |        | .0/201   | 017  |   |         | M        |                                  | 75,00  | 0  | A                         | \$48.5  | 6 99                                   | 463   |  | D             |  |   |
| Common Stock 11/20/2   |   |            |  |        | 0/201    | 017  |   |         | S        |                                  | 75,00  | 0  | D                         | \$52.5  | 5 24                                   | 24,463  |  | D             |  |   |
|  |   |            | Table II -                                     |        |          |  |   |         |          |                                  |        | sed of,<br>onverti   |                           |   |  | Owned   |  |               |  |   |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)          | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security |            | 3A. Deeme<br>Execution<br>if any<br>(Month/Day | Date,  | Code (In |  | of<br>Deri<br>Sec<br>Acq<br>(A) (<br>Disp<br>of (I          | of      |          | Date Exc<br>piration<br>lonth/Da | Date   |  | of Sec<br>Under<br>Deriva | 7. Title and Amount<br>of Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5)   | 9. Number<br>derivatives<br>Securities<br>Beneficial<br>Owned<br>Following<br>Reported<br>Transactio<br>(Instr. 4) | s<br>Silly    | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Beneficial<br>Ownership<br>ct (Instr. 4)            |
|  |   |            |  |        | Code     | v  | (A)   | (D)     | Da<br>Ex | ate<br>kercisabl                 |        | expiration<br>Date   | Title                     | 1   | Amount<br>or<br>Number<br>of<br>Shares |   |  |               |  |   |
| Non-<br>Qualified<br>Stock<br>Option<br>(right to            | \$48.56   | 11/20/2017 |  |        | М        |  |   | 75,000  |          | (1)                              | 0      | 4/14/2018  | Comm                      |   | 75,000                                 | \$52.5  | 0  |               | D  |   |

## **Explanation of Responses:**

1. Option vests with respect to 25% of the option shares on March 31, 2012, and the balance in a series of equal monthly installments over the next 36 months of service thereafter.

By: Roberta S Cohen Attorneyin-Fact For: George Kurian

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.