FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE** COMMISSION

Washington, D.C. 20549

| OMB APPROVAL             |           |  |  |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|--|--|
| OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |  |
| Estimated average burden |           |  |  |  |  |  |  |  |  |
| hours per response       | 0.5       |  |  |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

|   |   |       |          |                                       | 01 000   |  | 0(11) 0  | i tile i         | TIVESTITE                       | 00      | ilipally Act t                  | 71 10 10   |   |  |                            |   |               |  |   |
|---|---|-------|----------|---------------------------------------|--|--|--|------------------|---------------------------------|---------|---------------------------------|--|---|--|----------------------------|---|---------------|--|---|
| Name and Address of Reporting Person*  Waynian Coorgo |   |       |          |                                       | 2. Issuer Name <b>and</b> Ticker or Trading Symbol NetApp, Inc. [NTAP] |  |  |                  |                                 |         |                                 | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)                          |   |  |                            |   |               |  |   |
| <u>Kurian George</u>                                  |   |       |          |                                       | -1-1-  | ,  |  | -                |                                 |         |                                 |  | X   | Direc  | tor                        |   | 10% Ov        | vner   |   |
| (Last) (First) (Middle)                               |   |       |          |                                       | 3. Date of Earliest Transaction (Month/Day/Year)                       |  |  |                  |                                 |         |                                 | X  | Officer (give title below)                                  |  |                            | Other (s  | specify       |  |   |
| 3060 OLSEN DRIVE                                      |   |       |          |                                       | 05/17/2023   |  |  |                  |                                 |         |                                 |  |   | Chief Executive Officer                      |                            |   |               |  |   |
|   |   |       |          |                                       |  | 4. If Amendment, Date of Original Filed (Month/Day/Year) |  |                  |                                 |         |                                 |  | 6. Individual or Joint/Group Filing (Check Applicable Line) |  |                            |   |               |  |   |
| (Street)<br>SAN JO                                    | SE CA   | ١     | 5128     |                                       |  |  |  |                  |                                 |         |                                 |  |   | X  | Form                       | i filed by On   | e Rep         | oorting Pers   | on  |
|   | 3E C  | 1 9   | 3120     |                                       |  |  |  |                  |                                 |         |                                 |  |   | Form filed by More than One Reporting Person |                            |   |               | orting   |   |
| (City) (State) (Zip)                                  |   |       |          |                                       | Rule 10b5-1(c) Transaction Indication                                  |  |  |                  |                                 |         |                                 |  |   |  |                            |   |               |  |   |
|   |   |       |          |                                       |  |  |  | ` '              |                                 |         |                                 |  |   |  |                            |   |               |  |   |
|   |   |       |          |                                       |  |  |  |                  |                                 |         | saction was n<br>ions of Rule 1 |  |   |  |                            | truction or wr  | itten pl      | lan that is int  | ended to  |
|   |   | Table | I - No   | n-Deriva                              | tive S   | ecur   | ities  | Acc              | quired,                         | Dis     | posed of                        | f, or E  | 3ene  | ficiall                                      | y Owr                      | ned   |               |  |   |
| Date  |   |       |          | 2. Transaction<br>Date<br>(Month/Day/ | Execution Day/Year) if any   |  | 2A. Deemed<br>Execution Date,<br>f any<br>Month/Day/Year)  |                  | Transaction Dispo               |         | Disposed C                      | rities Acquired (/<br>ed Of (D) (Instr. 3  |   | , 4 and Secu<br>Bene<br>Own<br>Follo         |                            | cially<br>d<br>ving   | Forn<br>(D) o | n: Direct<br>or<br>rect (I)  | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|   |   |       |          |                                       |  |  |  |                  | Code                            | v       | Amount                          | (A)<br>(D)   | or Pr   | ice  | Repor<br>Transa<br>(Instr. | ted<br>action(s)<br>3 and 4)  |               |  |   |
| Common Shares 05/17/20                                |   |       |          |                                       | 023  |  |  | A <sup>(1)</sup> |                                 | 255,510 | A                               | \$(  | \$0.00(2)   |  | 402,574                    |   | D             |  |   |
| Common shares 05/17/20                                |   |       |          |                                       | .023   |  |  |                  | F                               |         | 126,683                         | D  | \$  | 65.41  | 27                         | 75,891  |               | D  |   |
|   |   | Tab   | ole II - | - Derivativ                           |  |  |  |                  |                                 |         |                                 |  |   |  | Owne                       | ed  |               |  |   |
|   |   |       |          | (e.g., pu                             | ts, cai  | IS, V  | varra  | ınts,            | optioi                          | 1S, (   | convertib                       | le se  | curit   | ies)   |                            |   |               |  |   |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)   | titve Conversion Date Execution Date, or Exercise (Month/Day/Year) if any |       |          | ution Date,                           | 4.<br>Transaction<br>Code (Instr.<br>8)                                |  | 5.<br>Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3, 4<br>and 5) |                  |                                 |         |                                 | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security<br>(Instr. 3 and |   | De<br>Se<br>(In:                             |                            | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s<br>(Instr. 4) | у             | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Beneficial<br>Ownership<br>(Instr. 4)                             |
|   |   |       |          | Cod                                   |  | v  | (A)  | (D)              | Date Expira<br>Exercisable Date |         | Expiration<br>Date              | Title  | Amou<br>or<br>Numb<br>of<br>Share                           | er   |                            |   |               |  |   |

## **Explanation of Responses:**

- 1. Represents the settlement of TSR performance share units originally granted on July 1, 2020.
- 2. Performance Stock Units convert into common stock on a one-for-one basis.

## Remarks:

By: Roberta S Cohen, Attorney-in-Fact for George

05/19/2023

Kurian

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.