FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB Number: 3235-0287 Estimated average burden hours per response 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

1. Name and Address of Reporting Person* <u>ALLEN SCOTT R.</u>						2. Issuer Name and Ticker or Trading Symbol NetApp, Inc. [NTAP]									(Chec	k all applica Director	able)	g Pers	on(s) to Issu 10% Ov Other (s	/ner
(Last) (First) (Middle) 1395 CROSSMAN AVE						3. Date of Earliest Transaction (Month/Day/Year) 09/15/2020									X	below)	give title Chief Ac	count	below)	`
(Street) SUNNY (City)	SUNNYVALE CA 94089				4.	4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Indi Line) X	Form filed by One Reporting Person Form filed by More than One Reporting Person				
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
1. Title of Security (Instr. 3) 2. Transa Date (Month/D							2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr.		4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4			and 5) Securitie Beneficia Owned F		S Ily	Form (D) or	: Direct Indirect str. 4)	7. Nature of Indirect Beneficial Ownership	
										Code	v	Amount	(A) or (D)	Pric	e	Reported Transacti (Instr. 3 a	on(s) nd 4)			(Instr. 4)
Common Stock 09/15/						2020				М		4,250	A	\$	0.0	7,1	47		D	
Common Stock 09/15					15/20	20				F		1,279	D	\$4	5.38	5,868			D	
Common Stock 09/15/					15/20	20			М		1,210	Α	\$	0.0	7,078			D		
Common Stock 09/15/					15/20	20				F		364	D	\$4	5.38	6,7	14		D	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	ate Execution Date, 16 Annth/Day/Year) Execution Date, 16 Annth/Day/Year) Execution Date, 17 Annth/Day/Year) Execution Date, 17 Annth/Day/Year) Execution Date, 18 Annth/Day/Year) Execution Date, 18 Annth/Day/Year) Execution Date, 18 Annth/Day/Year) Execution Date, 18 Annth/Day/Year)			nsaction of de (Instr. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable Expiration Date (Month/Day/Year) Date Exercisable Date			piration	Amount of Securitie Underlyin Derivativ	7. Title and Amount of Securities Underlying Derivative Security Instr. 3 and 4) Amount or Number of Shares		8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficia Owned Following Reported Transacti (Instr. 4)	e s illy	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	

Explanation of Responses:

\$0.0⁽¹⁾

\$0.0⁽¹⁾

Restricted

Stock Unit Restricted

1. Restricted stock units convert into common stock on a one-for-one basis.

09/15/2020

09/15/2020

2. On September 15, 2016, the reporting person was granted 17,000 restricted stock units, vesting in four equal annual installments beginning on September 15, 2017 and subject to continued service on each

09/15/2017(2)

(3)

3. On September 16, 2019, the reporting person was granted 4,840 restricted stock units, vesting in four equal annual installments beginning on September 15, 2020 and subject to continued service on each applicable vesting date.

> By: Roberta S Cohen Attorney-09/17/2020 in-Fact For: Scott R Allen

0

3,630

D

D

** Signature of Reporting Person Date

4 250

1,210

\$0.0

\$0.0

06/01/2023(2)

(3)

Commor

Commor Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

(A) (D)

4,250

1,210

M

M