Instruction 1(b).

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL             |       |  |  |  |  |  |  |  |  |  |
|--------------------------|-------|--|--|--|--|--|--|--|--|--|
| OMB Number: 3235-0287    |       |  |  |  |  |  |  |  |  |  |
| Estimated average burden |       |  |  |  |  |  |  |  |  |  |
| hours per response       | . 0.5 |  |  |  |  |  |  |  |  |  |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person*     BERRY MICHAEL J |  |  |                              |  |   | 2. Issuer Name and Ticker or Trading Symbol NetApp, Inc. [ NTAP ] |                 |        |  |        |               |   |   | Check                 | all app                                   |  | ig Pei                               | 10% O  | wner   |
|---|--|--|------------------------------|--|---|---|-----------------|--------|--|--------|---------------|---|---|-----------------------|---|--|--------------------------------------|--|--|
| (Last) (First) (Middle) 1395 CROSSMAN AVE                 |  |  |                              |  | 3. Date of Earliest Transaction (Month/Day/Year) 12/17/2020 |   |                 |        |  |        |               |   |   | X                     | Officer (give title below)  EVP Finance & |  | Other (s<br>below)<br>Operations, CF |  | ·  |
|   | Street) SUNNYVALE CA 94089   |  |                              | 4. If Amendment, Date of Original Filed (Month/Day/Year) |   |   |                 |        |  |        |               | , I   | S. Individual or Joint/Group Filing (Check Applicable ine)  X Form filed by One Reporting Person Form filed by More than One Reporting Person |                       |   |  |                                      |  |  |
| (City)  | (City) (State) (Zip)  Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned                                       |  |                              |  |   |   |                 |        |  |        |               |   |   |                       |   |  |                                      |  |  |
| 1. Title of Security (Instr. 3)  2. Transaction Date      |  |  | 2A. Deemed<br>Execution Date |  | ned   | ed 3.<br>Date, Ti   |                 | nction | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 an |        |               | 5. Am   |   | ount of 6.            |   | n: Direct  | 7. Nature of Indirect                |  |  |
| (Month/Day/Yea  |  |  |                              | ar) if any<br>(Month/Day/Year)                           |   |   | Code (Instr. 8) |        |  |        | Owne          |   | ficially (D<br>d Following In   |                       | rect (I)                                  | Beneficial<br>Ownership<br>(Instr. 4)  |                                      |  |  |
|   |  |  |                              |  |   |   | c               | ode    | v .  | Amount | (A) or<br>(D) | Price   |   |                       | saction(s)<br>r. 3 and 4)                 |  | tr. 4)                               | (111511.4)   |  |
| Common Stock 12/17/2020                                   |  |  |                              | .0   |   |   | P               |        | 15,000   | A      | \$63.888      | 86(1)   | 37  | 37,568 <sup>(2)</sup> |   | D  |                                      |  |  |
|   | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |  |                              |  |   |   |                 |        |  |        |               |   |   |                       |   |  |                                      |  |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)       | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security  | 3. Transaction<br>Date<br>(Month/Day/Year) | Exec<br>if an                | Deemed<br>cution Date,<br>y<br>nth/Day/Year)             | 4.<br>Transa<br>Code<br>8)                                  | <u> </u>  |                 |        | Expiration Date (Month/Day/Year)  Date Expiration                |        |               | Amo<br>Secu<br>Unde<br>Deriv<br>Secu<br>3 and | Amount or Number of   |                       |   | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) |                                      | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |

## **Explanation of Responses:**

- 1. The price in Column 4 is a weighted average price. The prices actually received ranged from \$63.51 to \$64.24. The reporting person will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range
- 2. Total includes 413 shares purchased under the NetApp 1999 Employee Stock Purchase Plan on November 30, 2020.

By: Roberta S Cohen Attorney-in-Fact For: Michael 12/21/2020 J Berry

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.