SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB Number:	3235-0287											
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Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Add O'Callahan	1 0		2. Issuer Name and Ticker or Trading Symbol <u>NetApp, Inc.</u> [NTAP]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner						
(Last) 3060 OLSEN	(First) DRIVE	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 05/31/2023	X Officer (give title Other (specify below) below) EVP, Chief Legal Officer						
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)						
(Street) SAN JOSE				X Form filed by One Reporting Person Form filed by More than One Reporting Person						
(City)	(State)	(Zip)	Rule 10b5-1(c) Transaction Indication	ion						
			Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.							

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Shares	06/01/2023		A		2,250	A	\$0.00	15,941 ⁽¹⁾	D	
Common shares	06/01/2023		F		1,116	D	\$71.96	14,825	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (li 8)		of Der Sec Acq (A) Disj	oosed D) tr. 3, 4	6. Date Exerci Expiration Da (Month/Day/Y	te	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Unit	\$0.00 ⁽²⁾	06/01/2023		М			1,000	06/01/2020 ⁽³⁾	06/02/2026	Common Stock	1,000	\$0.00	0	D	
Restricted Stock Unit	\$0.00 ⁽²⁾	06/01/2023		М			1,250	06/01/2021 ⁽⁴⁾	06/30/2027	Common Stock	1,250	\$0.00	1,250	D	

Explanation of Responses:

1. Includes 221 shares purchased at \$56.3975 per share under the NetApp Employee Stock Purchase Plan on May 31, 2023.

2. Restricted Stock Units convert into common stock on a one-for-one basis.

3. On June 3, 2019, the reporting person was granted 4,000 restricted stock units, vesting in four equal annual installments beginning on June 1, 2020, and subject to continued service on each applicable vesting date.

4. On July 1, 2020, the reporting person was granted 5,000 restricted stock units, vesting in four equal annual installments beginning on June 1, 2021, and subject to continued service on each applicable vesting date.

Remarks:

 By: Roberta S Cohen, Attorney-in-Fact for Elizabeth
 06/05/2023

 M. O'Callahan
 ** Signature of Reporting Person
 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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