

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>PASEK RONALD J</u> (Last) (First) (Middle) 1395 CROSSMAN AVE (Street) SUNNYVALE CA 94089 (City) (State) (Zip)	2. Issuer Name and Ticker or Trading Symbol <u>NetApp, Inc. [NTAP]</u> 3. Date of Earliest Transaction (Month/Day/Year) 11/30/2018 4. If Amendment, Date of Original Filed (Month/Day/Year)	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner X Officer (give title below) Other (specify below) <u>EVP Finance & Operations, CFO</u> 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person
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Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	11/30/2018		J ⁽¹⁾		110	A	\$56.8395	60,845	D	
Common Stock	05/16/2019		M		16,032	A	\$0.0 ⁽²⁾	76,877	D	
Common Stock	05/16/2019		F		5,796	D	\$69.69	71,081	D	
Common Stock	05/16/2019		A		54,054 ⁽³⁾	A	\$0.0 ⁽⁴⁾	125,135	D	
Common Stock	05/16/2019		F		26,814	D	\$69.69	98,321	D	
Common Stock	05/16/2019		A		47,966 ⁽⁵⁾	A	\$0.0 ⁽⁴⁾	146,287	D	
Common Stock	05/16/2019		F		23,781	D	\$69.69	122,506	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date						Title
Restricted Stock Unit	\$0.0	05/16/2019		M			16,032	05/16/2017 ⁽⁶⁾	05/16/2023	Common Stock	16,032	\$69.69	16,032	D	

Explanation of Responses:

1. Shares purchased under the NetApp 1999 Employee Stock Purchase Plan on November 30, 2018.
2. Restricted stock units convert into stock on a one-for-one basis.
3. Represents the settlement of performance share unites originally granted on June 1, 2017.
4. Performance stock units convert into stock on a one-for-one basis.
5. Represents the settlement of performance share units originally granted on June 1, 2016.
6. On May 16, 2016, the reporting person was granted 64,129 restricted stock units, vesting in four equal annual installments beginning on May 16, 2017 and subject to continued service on each applicable vesting date.

By: Roberta S. Cohen Attorney-
in-Fact For: Ronald James 05/20/2019
Pasek

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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