FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address PASEK RON	ss of Reporting Person	n*	2. Issuer Name <b>and</b> Ticker or Trading Symbol NetApp, Inc. [ NTAP ]		tionship of Reporting Perso all applicable) Director	on(s) to Issuer 10% Owner
(Last) 1395 CROSSMA	(First) AN AVE	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 05/29/2020	X	Officer (give title below)  EVP Finance & Opera	Other (specify below) ations, CFO
(Street) SUNNYVALE (City)	CA (State)	94089 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	idual or Joint/Group Filing ( Form filed by One Repor Form filed by More than ( Person	ting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
			Code V		Amount (A) or (D)		Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)		
Common Stock	05/29/2020		J <sup>(1)</sup>		413	A	\$37.859	87,203	D			
Common Stock	06/01/2020		A		33,000(2)	A	\$0.0(3)	120,203	D			
Common Stock	06/01/2020		F		16,361	D	\$42.67	103,842	D			
Common Stock	06/01/2020		M		8,077	A	\$0.0	111,919	D			
Common Stock	06/01/2020		F		2,877	D	\$42.67	109,042	D			
Common Stock	06/01/2020		М		9,000	A	\$0.0	118,042	D			
Common Stock	06/01/2020		F		4,462	D	\$42.67	113,580	D			
Common Stock	06/01/2020		M		5,625	A	\$0.0	119,205	D			
Common Stock	06/01/2020		F		2,788	D	\$42.67	116,417	D			
Common Stock	06/01/2020		М		5,375	A	\$0.0	121,792	D			
Common Stock	06/01/2020		F		2,664	D	\$42.67	119,128	D			

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Deri Sec Acq (A) o Disp of (I	oosed D) tr. 3, 4	6. Date Exerci Expiration Dat (Month/Day/Ye	e	7. Title an Amount o Securities Underlyin Derivative (Instr. 3 ar	f g Security	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Unit	\$0.0 <sup>(4)</sup>	06/01/2020		M			8,077	06/01/2017 <sup>(5)</sup>	06/01/2023	Common Stock	8,077	\$0.0	0	D	
Restricted Stock Unit	\$0.0 <sup>(4)</sup>	06/01/2020		M			9,000	06/01/2018 <sup>(6)</sup>	06/01/2024	Common Stock	9,000	\$0.0	9,000	D	
Restricted Stock Unit	\$0.0 <sup>(4)</sup>	06/01/2020		M			5,625	06/01/2019 <sup>(7)</sup>	06/04/2025	Common Stock	5,625	\$0.0	11,250	D	
Restricted Stock Unit	\$0.0 <sup>(4)</sup>	06/01/2020		M			5,375	06/01/2020 <sup>(8)</sup>	06/03/2026	Common Stock	5,375	\$0.0	16,125	D	

## Explanation of Responses:

- $1.\ Shares\ purchased\ under\ the\ Net App\ 1999\ Employee\ Stock\ Purchase\ plan\ on\ May\ 29,\ 2020.$
- $2. \ Represents \ the \ settlement \ of \ performance \ share \ unites \ originally \ granted \ on \ June \ 1, \ 2017.$
- ${\it 3. \ Performance \ stock \ units \ convert \ into \ stock \ on \ a \ one-for-one \ basis.}$
- 4. Restricted stock units convert into common stock on a one-for-one basis.
- 5. On June 1, 2016, the reporting person was granted 32,309 restricted stock units, vesting in four equal annual installments beginning on June 1, 2017 and subject to continued service on each applicable vesting date.
- 6. On June 1, 2017, the reporting person was granted 36,000 restricted stock units, vesting in four equal annual installments beginning on June 1, 2018 and subject to continued service on each applicable vesting date.
- 7. On June 4, 2018, the reporting person was granted 22,500 restricted stock units, vesting in four equal annual installments beginning on June 1, 2019 and subject to continued service on each applicable vesting date.
- 8. On June 3, 2019, the reporting person was granted 21,500 restricted stock units, vesting in four equal annual installments beginning on June 1, 2020 and subject to continued service on each applicable vesting date.

in-Fact For: Ronald J Pasek

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- $^{**} \ Intentional \ misstatements \ or \ omissions \ of facts \ constitute \ Federal \ Criminal \ Violations \ See \ 18 \ U.S.C. \ 1001 \ and \ 15 \ U.S.C. \ 78ff(a).$

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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