SEC Form 4
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# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addr Schenkel Sc	1 0	Person*	2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>NetApp</u> , Inc. [ NTAP ]		ationship of Reporting F ( all applicable) Director	10% Owner					
(Last)	.ast) (First) (Middle)		3. Date of Earliest Transaction (Month/Day/Year) 09/12/2023		Officer (give title below)	Other (specify below)					
3060 OLSEN I	ORIVE		4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indi Line)	6. Individual or Joint/Group Filing (Check Applicab Line)						
(Street)				X	X Form filed by One Reporting Person						
SAN JOSE	CA	95128			Form filed by More than One Reporting Person						
(City)	(State)	(Zip)	Rule 10b5-1(c) Transaction Indication								
			Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.								
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										

1. Title of Security (Instr. 3)	Date (Month/Day/Year)	(Month/Day/Year)	Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			Securities	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	09/12/2023		М		3,450	Α	\$0.00	24,606	D	

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		Derivative		6. Date Exerc Expiration Da (Month/Day/)	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Restricted Stock Unit	(1)	09/12/2023		М			3,450	(2)	(2)	Common Stock	3,450	\$0.00	0	D	
Restricted Stock Unit	(1)	09/13/2023		A		3,526		(3)	(3)	Common Stock	3,526	\$0.00	3,526	D	

### Explanation of Responses:

1. Restricted Stock Units convert into common stock on a one-for-one basis.

2. On September 9, 2022, the reporting person was granted 3,450 restricted stock units, which vested on September 12, 2023, the day immediately preceding the date of the 2023 Annual Meeting of Stockholders 3. On September 13, 2023, the reporting person was granted 3,526 restricted stock units which vest on the day immediately preceding the date of the next Annual Stockholders Meeting of the Company following the grant date, subject to the reporting person's continuous service on the Board through such date.

#### **Remarks:**

Roberta S Cohen Attorney-in-Fact for Scott F Schenkel

09/14/2023

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.