FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL | | | | | | | | | |
|--------------------------|-----------|--|--|--|--|--|--|--|--|
| OMB Number: | 3235-0287 | | | | | | | | |
| Estimated average burden | | | | | | | | | |
| hours per response: | 0.5 | | | | | | | | |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person* HELD GERALD | | | | | - 3. I | 2. Issuer Name and Ticker or Trading Symbol NetApp, Inc. [NTAP] 3. Date of Earliest Transaction (Month/Day/Year) | | | | | | | (Ch | eck all applic X Directo Officer | able) r (give title | | | mer |
|--|------------|--|--|----------|--|---|--|--|--|-------|--------------------|--|--|---|---|---|--|---|
| (Last) (First) (Middle) 3060 OLSEN DRIVE | | | | \vdash | /12/2 | | | | | | | _ | below) | | below) | | | |
| | | | | _ 4. | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | Line | -/ | | | | | |
| (Street) SAN JOS | E CA 95128 | | | | | | | | | | | | | X Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | |
| (City) (State) (Zip) | | | | | R | Rule 10b5-1(c) Transaction Indication | | | | | | | | | | | | |
| | | | | | Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. | | | | | | | | | | | | | |
| | | Tak | le I - Nor | ı-Deri | vativ | e Se | curitie | es Ac | quired, I | Dis | posed of | f, or Ber | neficiall | y Owned | | | | |
| 1. Title of Security (Instr. 3) 2. Transa Date (Month/D | | | | | ear) | 2A. Deemed Execution Date, if any (Month/Day/Year) | | 3. Transaction Code (Instr. 5) 4. Securities Arbitante Arbitante Code (Instr. 5) | | | | 5. Amour Securitie Beneficia Owned F | es ally Following | Form | : Direct r Indirect str. 4) | 7. Nature of Indirect Beneficial Ownership | | |
| | | | | | | | | | Code | v | Amount (A) or (D) | | Price | Transact | Reported Transaction(s) (Instr. 3 and 4) | | | Instr. 4) |
| Common Stock 09/12 | | | | 12/202 | 2/2023 | | S | | 5,634 | D | \$78.5 | 17,457 | | | D | | | |
| Common Stock 09/12 | | | | 12/202 | 2/2023 | | | M | | 3,450 | A | \$0.00 | 20,907 | | | D | | |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | | | | |
| 1. Title of Derivative Security (Instr. 3) 2. Conversion or Exercise Price of Derivative Security | | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution D if any (Month/Day/ | oate, | 4. Transa Code (8) | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number derivative Securities Beneficial Owned Following Reported Transactio | s S Illy | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Beneficial Ownership t (Instr. 4) |
| | | | | | Code | v | (A) | (D) | Date Exercisab | | Expiration Date | Title | Amount or Number of Shares | | (Instr. 4) | | | |
| Restricted Stock Unit | (1) | 09/12/2023 | | | M | | | 3,450 | (2) | | (2) | Common Stock | 3,450 | \$0.00 | 0 | | D | |
| Restricted Stock | (1) | 09/13/2023 | | | A | | 3,526 | | (3) | | (3) | Common Stock | 3,526 | \$0.00 | 3,526 | | D | |

Explanation of Responses:

- 1. Restricted Stock Units convert into common stock on a one-for-one basis.
- 2. On September 9, 2022, the reporting person was granted 3,450 restricted stock units, which vested on September 12, 2023, the day immediately preceding the date of the 2023 Annual Meeting of Stockholders
- 3. On September 13, 2023, the reporting person was granted 3,526 restricted stock units which vest on the day immediately preceding the date of the next Annual Stockholders Meeting of the Company following the grant date, subject to the reporting person's continuous service on the Board through such date.

Remarks:

Roberta S Cohen Attorney-in-Fact for Gerald Held

09/14/2023

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.