## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| Check this box if no longer subject to |
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| Section 16. Form 4 or Form 5           |
| obligations may continue. See          |
| Instruction 1(b).                      |

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| OMB APP             | ROVAL     |
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| OMB Number:         | 3235-0287 |
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|   | hours per response:      | 0.5 |
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| 1. Name and Address of Reporting Person*     |               |                | 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br><u>NetApp, Inc.</u> [ NTAP ] |                        | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)               |                       |  |  |  |
|--|---------------|----------------|--|------------------------|---|-----------------------|--|--|--|
| Kurian Georg                                 | <u>3e</u>     |                |  | X                      | Director  | 10% Owner             |  |  |  |
| (Last) (First) (Middle)<br>1395 CROSSMAN AVE |               | (Middle)       | 3. Date of Earliest Transaction (Month/Day/Year)<br>11/20/2018                     | x                      | Officer (give title<br>below)<br>CEO  | Other (specify below) |  |  |  |
| (Street)<br>SUNNYVALE                        | CA<br>(State) | 94089<br>(Zip) | 4. If Amendment, Date of Original Filed (Month/Day/Year)                           | 6. Indiv<br>Line)<br>X | vidual or Joint/Group Fili<br>Form filed by One Re<br>Form filed by More th<br>Person | porting Person        |  |  |  |
|  | ()            |                | ative Securities Acquired, Disposed of, or Benefi                                  | icially                | Owned   |                       |  |  |  |

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transa<br>Code (<br>8) |  | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 and 5) |   | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|---------------------------------|--|---|------------------------------|--|--|---|---|---|---|--|
|                                 |  |   | Code                         | V Amount (A) or (D) Price (Instr. 3 and 4) |  |   | (1130.4)  |   |   |  |
| Common Stock                    | 11/20/2018                                 |   | S <sup>(1)</sup>             |  | 1,800  | D | <b>\$65.4783</b> <sup>(2)</sup>   | 10,244  | D   |  |
| Common Stock                    | 11/20/2018                                 |   | <b>S</b> <sup>(1)</sup>      |  | 4,400  | D | <b>\$66.458</b> <sup>(3)</sup>  | 5,844   | D   |  |
| Common Stock                    | 11/20/2018                                 |   | <b>S</b> <sup>(1)</sup>      |  | 3,800  | D | <b>\$67.4405</b> <sup>(4)</sup>   | 2,044   | D   |  |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of<br>Deriv<br>Secur<br>Acqu<br>(A) or<br>Dispo<br>of (D)<br>(Instr | Derivative (Month/Day/Year)<br>Securities<br>Acquired<br>(A) or<br>Disposed |                     | 7. Title<br>Amour<br>Securi<br>Underl<br>Deriva<br>Securi<br>and 4) | nt of<br>ties<br>ying<br>tive<br>ty (Instr. 3 | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|---|---|--|---|------------------------------|---|---|---|---------------------|---|---|---|--|--|--|--|
|   |   |  |   | Code                         | v | (A)   | (D)   | Date<br>Exercisable | Expiration<br>Date  | Title   | Amount<br>or<br>Number<br>of<br>Shares              |  |  |  |  |

### Explanation of Responses:

1. The transaction reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on December 1, 2017.

2. The price in Column 4 is a weighted average price. The prices actually received ranged from \$66.97 to \$65.85. The reporting person will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.

3. The price in Column 4 is a weighted average price. The prices actually received ranged from \$66.00 to \$66.99. The reporting person will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.

4. The price in Column 4 is a weighted average price. The prices actually received ranged from \$67.05 to \$67.74. The reporting person will provide to the issuer, any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.

By: Roberta S Cohen Attorney-11/21/2018

in-Fact For: George Kurian

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.