SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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1. Name and Addres <u>WALLACE R</u>	s of Reporting Person CICHARD P	*	2. Issuer Name and Ticker or Trading Symbol <u>NetApp, Inc.</u> [NTAP]		tionship of Reporting Persor all applicable) Director	n(s) to Issuer 10% Owner
(Last) (First) 1395 CROSSMAN AVE		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 11/16/2017		Officer (give title below)	Other (specify below)
(Street) SUNNYVALE	CA	94089	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	idual or Joint/Group Filing (Form filed by One Reporti Form filed by More than C	ing Person
(City)	(State)	(Zip)			Person	She Kepolang

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)		Transaction Disposed Of (D) (Instr. 3, 4 and Code (Instr. 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	11/16/2017		М		27,500	A	\$46.77	34,632	D	
Common Stock	11/16/2017		S ⁽¹⁾		27,500	D	\$51.41	7,132	D	
Common Stock	11/16/2017		М		22,831	A	\$43.77	29,963	D	
Common Stock	11/16/2017		S ⁽¹⁾		22,831	D	\$53.77	7,132	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	of (İ	(D) (Instr. and 5)	Date Exercisable	Expiration Date	Amount or Number of Shares			Transaction(s) (Instr. 4)		
Non- Qualified Stock Option (right to buy)	\$43.77	11/16/2017		М			22,831	(2)	09/12/2020	Common Stock	22,831	\$53.77	0	D	
Non- Qualified Stock Option (right to buy)	\$46.77	11/16/2017		М			27,500	(3)	03/15/2018	Common Stock	27,500	\$51.41	0	D	

Explanation of Responses:

1. The transaction reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on August 30, 2017.

2. Option is immediately exercisable, but any shares purchased under the option will be subject to repurchase by the Company at the option exercise price paid per share, upon the Optionee's cessation of Board service prior to vesting in those shares. The shares will vest upon the Optionee's continuation in Board service through the day immediately preceding the next Annual Stockholders Meeting following the grant date.

3. Option vests with respect to 12,500 of the option shares on the first anniversary of the grant date and the balance in a series of equal annual installments over the next 3 years of service thereafter.

By: Roberta S Cohen Attorney-11/20/2017

in-Fact For: Richard P Wallace Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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