FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

| Check this box if no longer subject | SIAIEMENI  |
|-------------------------------------|------------|
| o Section 16. Form 4 or Form 5      |            |
| obligations may continue. See       |            |
| netruction 1(h)                     | Filed pure |

## TATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person*     Kurian George |  |  |   |   | 2. Issuer Name and Ticker or Trading Symbol NetApp, Inc. [ NTAP ]  |   |              |  |                     |   |                       |                     |                      | ck all app   | licable)   | .,   |   |  |
|---|--|--|---|---|--|---|--------------|--|---------------------|---|-----------------------|---------------------|----------------------|--|--|--|---|--|
| (Last) (First) (Middle) 3060 OLSEN DRIVE                |  |  |   |   |  | 3. Date of Earliest Transaction (Month/Day/Year) 05/19/2022 |              |  |                     |   |                       |                     |                      |  | v) ``  | utive  | below)  | specify  |
|   |  |  |   | 4. If Amendment, Date of Original Filed (Month/Day/Year)  |  |   |              |  |                     |   |                       | Line)               | Form                 | Form filed by One Reporting Person Form filed by More than One Reporting   |  |  |   |  |
|   | Table  | I - No   | n-Deriva  | tive S  | Secu   | rities  | Acq          | uired,   | , Dis               | posed of  | , or E                | Bene                | ficiall              | y Own  | ed   |  |   |  |
|   |  |  | Date (Month/Day/Year) if  |   | Exec<br>if any   | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) |              |  |                     | Disposed Of (D) (Instr. 3,  |                       |                     |                      | Securi<br>Benefi<br>Owned  | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following  |  | : Direct<br>Indirect  | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)  |
|   |  |  |   |   |  |   |              | Code   | v                   | Amount  | (A)<br>(D)            | or P                | rice                 | Transa   | action(s)  |  |   | (111501.4)   |
| Common Shares 05/18/2                                   |  |  |   | 2022  | 022  |   |              | A <sup>(1)</sup>   |                     | 41,625  | A                     | \$                  | 0.00 <sup>(2)</sup>  | 178,106  |  |  | D   |  |
| Common shares 05/18                                     |  |  | 05/18/2   | 2022  |  |   | F            |  | 19,852              | Г   | ) [                   | 68.26               | 6 158,254            |  |  | D  |   |  |
|   | Tal  |  |   |   |  |   |              |  |                     |   |                       |                     |                      | Owne   | d  |  |   |  |
| rity or Exercise (Month/Day/Year) if any                |  | ion Date,  | Transaction of Code (Instr. 8)  See Acc. (A) Dis of (Instr. and   |   | of<br>Deriv<br>Secu<br>Acqu<br>(A) or<br>Dispo<br>of (D)<br>(Instr   | rative<br>rities<br>ired<br>r<br>osed<br>)                  | Expirat      | tion Da  | ate                 | Amount of Securities Underlying Derivative Security (Ins 3 and 4)  Amount or Number 1 |                       | Str.                | erivative<br>ecurity | derivative<br>Securities<br>Beneficiall<br>Owned<br>Following<br>Reported  | y C  | Ownership<br>Form:<br>Direct (D)<br>or Indirect                                | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)  |  |
|   | George  (Fir SEN DRIV  SE CA  (Sta  Security (Insta  Shares  Shares  2. Conversion or Exercise Price of Derivative | George  (First) (ISEN DRIVE  SEN DRIVE  SE CA 9  (State) (ZONE)  Table  Security (Instr. 3)  Shares  Shares  Tal  2. Conversion or Exercise Price of Derivative (Month/Day/Year) | (First) (Middle) SEN DRIVE  SE CA 95128  (State) (Zip)  Table I - No Security (Instr. 3)  Shares  Shares  Table II -  Conversion or Exercise Price of Derivative (Month/Day/Year) (Month/Day/Year)  [Security (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) | George  (First) (Middle)  SEN DRIVE  SE CA 95128  (State) (Zip)  Table I - Non-Derivation (Month/Da)  Security (Instr. 3)  Shares 05/18/2  Table II - Derivation (e.g., pt. (Conversion or Exercise Price of Derivative (Month/Day/Year)  2. (Month/Day/Year)  3. Transaction Exercise (Month/Day/Year)  (Month/Day/Year) | George  (First) (Middle)  SEN DRIVE  SE CA 95128  (State) (Zip)  Table I - Non-Derivative Security (Instr. 3)  Shares  05/18/2022  Table II - Derivative Security (e.g., puts, catherina price of Derivative Security (Month/Day/Year)  2. Table II - Derivative Security (e.g., puts, catherina price of Derivative Security (Month/Day/Year)  3. Date (Month/Day/Year)  2. Table II - Derivative Security (e.g., puts, catherina price of Derivative Security (Month/Day/Year)  3. Date (Month/Day/Year)  4. Transaction Date (if any (Month/Day/Year)  (Month/Day/Year)  3. Date (Month/Day/Year) | NetApp   SEN DRIVE   3. Date of E   05/19/202               | NetApp, Inc. | NetApp, Inc.   NetA | NetApp, Inc. [NTAP] | NetApp, Inc. [ NTAP ]   | NetApp, Inc. [ NTAP ] | NetApp, Inc. [NTAP] | NetApp, Inc.   NTAP  | NetApp, Inc. [NTAP]   Cherrical Security (Instr. 3)   Security (Instr. 3)   Shares   O5/18/2022   Table II - Derivative Securities   O5/18/2022   F   19,852   D   \$68.26 | Netar   Neta | Check all applicable   X Director   X Officer (give title below)   Chief Exect | NetApp, Inc. [NTAP]   Check all applicable)   X Director   X Officer (give title below)   Chief Executive | Check all applicable   X Director   10% O   X Officer (give title below)   Chief Executive Officer   SEE CA   95128   SEE C |

## **Explanation of Responses:**

- 1. Represents the settlement of TSR performance share units originally granted on June 3, 2019.
- 2. Performance Stock Units convert into common stock on a one-for-one basis.

## Remarks:

By: Roberta S Cohen,

05/23/2022 Attorney-in-Fact for George

**Kurian** 

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.