

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934  
or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>ALLEN SCOTT R.</u>	2. Date of Event Requiring Statement (Month/Day/Year) 08/14/2019	3. Issuer Name and Ticker or Trading Symbol <u>NetApp, Inc. [ NTAP ]</u>	
		4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner <input checked="" type="checkbox"/> Officer (give title below) Other (specify below) <u>SVP, Chief Accounting Officer</u>	5. If Amendment, Date of Original Filed (Month/Day/Year)
(Last) (First) (Middle) <u>1395 CROSSMAN AVE</u>			6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person Form filed by More than One Reporting Person
(Street) <u>SUNNYVALE CA 94089</u>			
(City) (State) (Zip)			

## Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
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## Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Restricted Stock Unit	09/15/2017 <sup>(1)</sup>	06/01/2023	Common Stock	8,500	0.0 <sup>(2)</sup>	D	
Restricted Stock Unit	06/01/2018 <sup>(3)</sup>	08/01/2024	Common Stock	3,000	0.0 <sup>(2)</sup>	D	
Restricted Stock Unit	06/01/2019 <sup>(4)</sup>	06/01/2025	Common Stock	3,450	0.0 <sup>(2)</sup>	D	
Restricted Stock Unit	06/01/2020 <sup>(5)</sup>	06/01/2026	Common Stock	4,500	0.0 <sup>(2)</sup>	D	

## Explanation of Responses:

- On September 15, 2016, the reporting person was granted 17,000 restricted stock units, vesting in four equal annual installments beginning on September 15, 2017 and subject to continued service on each applicable vesting date.
- Restricted stock units convert into common stock on a one-for-one basis.
- On June 1, 2017, the reporting person was granted 6,000 restricted stock units, vesting in four equal annual installments beginning on June 1, 2018 and subject to continued service on each applicable vesting date.
- On June 1, 2018, the reporting person was granted 4,600 restricted stock units, vesting in four equal annual installments beginning on June 1, 2019 and subject to continued service on each applicable vesting date.
- On June 3, 2019, the reporting person was granted 4,500 restricted stock units, vesting in four equal annual installments beginning on June 1, 2020 and subject to continued service on each applicable vesting date.

By: Roberta S Cohen Attorney- 08/22/2019  
in-Fact For: Scott R Allen

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.