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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287

0.5

11. Nature

of Indirect

Beneficial

Ownership

(Instr. 4)

Estimated average burden

hours per response

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Add | | g Person [*] | 2. Issuer Name and Ticker or Trading Symbol <u>NetApp, Inc.</u> [NTAP] | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | |
|--|-----------------|-----------------------|--|-------------------|---|-----------------------|--|--|--|--|
| Kurian Geo | <u>ige</u> | | | X | Director | 10% Owner | | | | |
| (Last) | (First) | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) | X | Officer (give title below) | Other (specify below) | | | | |
| 3060 OLSEN DRIVE | | | 02/15/2023 | | Chief Executive Officer | | | | | |
| (Street) | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Indiv Line) | 6. Individual or Joint/Group Filing (Check Applicable Line) | | | | | |
| SAN JOSE | N JOSE CA 95128 | | | X | Form filed by One Reporting Person | | | | | |
| (City) | (State) | (Zip) | — | | Form filed by More the Person | an One Reporting | | | | |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | |

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership | |
|---------------------------------|--|---|---|---|---|---------------|---------|---|---|---|--|
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (Instr. 4) | |
| Common shares | 02/15/2023 | | М | | 2,256 | A | \$0.00 | 152,482 | D | | |
| Common Shares | 02/15/2023 | | F | | 812 | D | \$68.71 | 151,670 | D | | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 8. Price of Derivative 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and 9. Number of 10. Transaction Expiration Date (Month/Day/Year) Ownership Conversion Date Execution Date of Derivative Amount of derivative (Month/Dav/Year if any (Month/Day/Year) Security (Instr. 5) or Exercise Code (Instr. Securities Securities Form Underlying Derivative Security Price of 8) Securities Beneficially Direct (D) Derivative Acquired Owned or Indirect

| | Security | | | | (A) or Disposed of (D) (Instr. 3, 4 and 5) | | | | (Instr. 3 and 4) | | | Following Reported Transaction(s) (Instr. 4) | (I) (Instr. 4) | |
|--------------------------|-----------------------|------------|------|---|--|-------|---------------------------|--------------------|------------------|--|--------|---|----------------|--|
| | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |
| Restricted Stock Unit | \$0.00 ⁽¹⁾ | 02/15/2023 | М | | | 2,256 | 05/15/2022 ⁽²⁾ | 07/01/2028 | Common Stock | 2,256 | \$0.00 | 20,307 | D | |

Explanation of Responses:

1. Restricted Stock Units convert into common stock on a one-for-one basis.

2. On July 1, 2021, the reporting person was granted 36,100 restricted stock units. Restricted stock unit awards shall vest as to twenty-five percent (25%) of the shares May 15, 2022 and 1/16th (6.25%) of the shares quarterly thereafter for the next three years, subject to continued service on each applicable vesting date.

Remarks:

1. Title of

Derivative

Security (Instr. 3)

> By:Roberta S Cohen, Attorneyin-Fact for George Kurian

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.