FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| OMB APPROVAL | | | | | | | | | |
|--------------------------|-----------|--|--|--|--|--|--|--|--|
| OMB Number: | 3235-0287 | | | | | | | | |
| Estimated average burden | | | | | | | | | |
| hours per response: | 0.5 | | | | | | | | |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* WARMENHOVEN DANIEL J | | | | | | 2. Issuer Name and Ticker or Trading Symbol NetApp, Inc. [NTAP] | | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | | |
|---|--|--|--------------|--------------------|------------------------------|--|-----|--|--|--|--------------------|---|--|---|---|---|----|---|-------------------------|--|
| (Last) | ` | (First) (Middle) | | | | 3. Date of Earliest Transaction (Month/Day/Year) 12/09/2013 | | | | | | | | X X | below | r (give title | CI | 10% O Other (below) | | |
| 495 EAST JAVA DRIVE | | | | | | | | | | | | | | | Executive Chairman | | | | | |
| (Street) | | | | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable Line) | | | | | | |
| SUNNYVALE CA 94089 | | | | | | | | | | | | | X Form filed by One Reporting Person | | | | | | | |
| (City) | (Si | tate) (| Zip) | | | | | | | | | | | | Form filed by More than One Reporting Person | | | | | |
| | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | | | | | | | | | |
| 1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Y | | | | | Year) | Execution Date, | | 3. Transact Code (In 8) | | 4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4 5) | | | nd | Securi Benefi Owned | cially | 6. Ownership Form: Direct (D) or Indirect (I) | | 7. Nature of Indirect Beneficial Ownership | | |
| | | | | | | | | Code | v | Amount | (A) or (D) | Price | | Following Reported Transaction(s) (Instr. 3 and 4) | | (Instr. 4) | | (Instr. 4) | | |
| Common Stock 12/09/2 | | | | | 13 | 13 | | | M ⁽¹⁾ | | 10,000 | A | \$23. | 79 75 | | 5,059 | | D | | |
| Common Stock 12/09/20 | | | | | 13 | 13 | | | S ⁽¹⁾ | | 10,000 | D | \$41.4 | 345 | 65,059 | | D | | | |
| Common Stock 12/10/2 | | | | | 13 | | | | G | | 27,000 | D | \$0. | 0 | 2,091,031 | | I | | by Trust | |
| Common Stock | | | | | | | | | | | | | 38 | | 8,032 | | | by Trust2 ⁽²⁾ | | |
| | | Ta | able | II - Deriva | | | | | , | | osed of, | | | • | vned | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | Executif any | Deemed ution Date, | 4. Transa Code (8) | 5. Num | | lumber ivative curities quired or posed D) | 6. Date Exerc Expiration D (Month/Day/ | | isable and | 7. Title and Amount of Securities Underlying Derivative Security (Instr. and 4) | | 8. Proof Deri | rice ivative urity tr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4) | y | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Beneficial Ownership | |
| | | | | | Code | v | (A) | (D) | Date Exercisa | ıble | Expiration Date | Title | Amount or Number of Shares | 1 | | | | | | |
| Non- Qualified Stock Option (right to buy) | \$23.79 | 12/09/2013 | | | M ⁽¹⁾ | | | 10,000 | (3) | | 06/01/2015 | Common Stock | 10,000 | \$ | 60.0 | 280,000 | | D | | |

Explanation of Responses:

- 1. The transaction(s) reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.
- 2. Shares held in trust by Richard A. Andre, trustee to The Daniel J. Warmenhoven 1991 Children's Trust. Reporting person disclaims beneficial ownership of such shares.
- 3. Option vests in a series of equal monthly installments over 48 months of service beginning with the one-month annniversary of the grant date.

By: Michael Nolan, Attorneyin-fact For: Daniel J. 12/11/2013 Warmenhoven

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.