SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPRC	DVAL								
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Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] <u>De Lorenzo Daniel</u>						2. Issuer Name and Ticker or Trading Symbol <u>NetApp, Inc.</u> [NTAP]										k all appli Directo	or		10% Owner			
(Last) (First) (Middle) 3060 OLSEN DR						3. Date of Earliest Transaction (Month/Day/Year) 05/15/2024										below	Officer (give title below) VP, Control		Other (s below) & CAO	specify		
							4. If Amendment, Date of Original Filed (Month/Day/Year)										6. Individual or Joint/Group Filing (Check Applicable					
(Street) SAN JOSE CA 95128					_									Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person								
(City) (State) (Zip)						Rule 10b5-1(c) Transaction Indication																
		Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.																				
		Tab	le I - No	on-Deriv	vative) Se	curiti	es A	cquir	ed, I	Dis	posed o	of, or B	enefic	ially	Ownee	d					
Date					action Day/Year	r) E	2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transactio Code (Inst r) 8)			4. Securities Acquired (A) d Disposed Of (D) (Instr. 3, 4			4 and 5) Securit Benefic Owned		es ally Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership		
									Cod	le V	'	Amount	mount (A) or P		Ð	 Reported Transaction(s) (Instr. 3 and 4) 				(Instr. 4)		
Common	Shares			05/15	/2024	2024			M	ı 🗌		937 A		([1]	937			D			
Common Shares 05/15/2					/2024)24			F			327 D \$1		\$11	0.62	610			D			
		Т	able II -						-		-	osed of converti			-	Owned						
1. Title of Derivative Security (Instr. 3)	Conversion Date Exercise (Month/Day/Year) if		Execution if any			actior (Instr		6. Date Exercis Expiration Date (Month/Day/Ye				7. Title and Amount of Securities Underlying Derivative Secur (Instr. 3 and 4)		D S (I	3. Price of Derivative Security Instr. 5)	9. Number derivative Securities Beneficial Owned Following Reported Transactio (Instr. 4)	ly Direc or Inc (I) (In	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownershi (Instr. 4)			
					Code	v	(A)	(D)	Date Exerc	isable		Expiration Date	Title	Amou or Numb of Share	er							
Restricted Stock Unit	(1)	05/15/2024		М				175		(2)		(2)	Common Shares 17		;	(1)	700		D			
Restricted Stock Unit	(1)	05/15/2024			м			69	(.	3)	T	(3)	Common Shares	69		(1)	417		D			
Restricted Stock Unit				М			108	(4	4)	T	(4)	Common Shares 10		3	(1) 860			D				
Restricted Stock Unit					М			585	(:	5)	T	(5)	Common Shares	585	;	(1)	1,756		D			

Explanation of Responses:

1. Restricted stock units convert into common stock on a one-for-one basis.

2. On July 1, 2021, the reporting person was granted 2,800 restricted stock units, vesting as to twenty-five percent (25%) of the shares on May 15, 2022 and 1/16th (6.25%) of the shares quarterly thereafter for the next three years, subject to continued service on each applicable vesting date.

3. On November 15, 2021, the reporting person was granted 1,110 restricted stock units, vesting as to twenty-five percent (25%) of the shares on November 15, 2022 and 1/16th (6.25%) of the shares quarterly thereafter for the next three years, subject to continued service on each applicable vesting date.

4. On July 1, 2022, the reporting person was granted 1,720 restricted stock units, vesting as to twenty-five percent (25%) of the shares on May 15, 2023 and 1/16th (6.25%) of the shares quarterly thereafter for the next three years, subject to continued service on each applicable vesting date.

5. On July 13, 2023, the reporting person was granted 2,341 restricted stock units, vesting as to twenty-five percent (25%) of the shares on May 15, 2024 and 1/16th (6.25%) of the shares quarterly thereafter for the next three years, subject to continued service on each applicable vesting date.

<u>/s/ Michael Schultz, Attorney-</u> in-Fact for Daniel De Lorenzo 05/17/2024

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.